Touchstone Investments®
DISTINCTIVELY ACTIVE®

TOUCHSTONE INHERITED IRA TRANSFER OF ASSETS FORM / DIRECT ROLLOVER FORM

Use this form to request an inherited IRA trustee-to-trustee transfer of assets or a direct rollover from an existing retirement plan account you hold as the beneficiary of a deceased participant to your Inherited IRA at Touchstone Investments. Based on your instructions, BNY Mellon Investment Servicing Trust Company will initiate the transfer or rollover for you. Incomplete information will result in delays in processing your request. If you need assistance completing this form, please contact Shareholder Services at 1-800-543-0407.

A trustee-to-trustee transfer is a non-reportable transaction which occurs between like accounts – Inherited Traditional IRA to Inherited Traditional IRA, or Inherited Roth IRA to Inherited Roth IRA. Only assets Inherited by the same beneficiary from the same deceased owner may be put in the account. Note Inherited SEP IRAs (and Inherited SIMPLE IRAs, after the required two-year holding period) can be transferred into a traditional Inherited IRA. Any assets in an Inherited IRA can only be moved via a trustee-to-trustee transfer.

Do not use this form if you are a spouse beneficiary who wishes to move their inherited assets into an IRA in your own name.

DIRECT ROLLOVER NOTICE

If this is a direct rollover of assets from a qualified plan, 403(b), or 457 plan, of which you are the beneficiary of a deceased participant, you affirm by signing page 2 of this form, that the assets are eligible for a direct rollover to an inherited IRA and that this an irrevocable election. The assets will no longer be eligible for special tax treatment which may be accorded to distributions from a qualified plan, 403(b), or 457 plan.

You should contact the current plan administrator or custodian prior to completing this form to ensure that you have received and completed any in-house forms that they may require. Direct rollovers from a qualified plan to an IRA can only be in the form of cash (Transfer-In-Kind is not an option).

INHERITED IRA OWNER INFORMATION

Name: Daytime Telephone: (   )

Address:

City: State: Zip Code:

Social Security Number:

ORIGINAL IRA OWNER'S INFORMATION

Original IRA owner's full name:

Original IRA owner's Date of Birth: Original IRA owner's Date of Death:

If you are a successor / subsequent beneficiary, (i.e., a beneficiary of an Inherited IRA established by a now deceased beneficiary of the deceased original owner) please also provide the Deceased Beneficiary's details.

Original IRA Beneficiary's full name:

Original IRA Beneficiary's Date of Birth: Original IRA Beneficiary's Date of Death:

INVESTMENT INSTRUCTIONS

Complete items A, B, C and D.

A. ☐ I am opening a new Inherited IRA and have attached the required inherited Touchstone IRA application.

☐ Deposit the proceeds into my existing Inherited IRA. Account Number: ____

B. Type of account transferring into: ☐ Traditional Inherited IRA (including SEP, or SIMPLE[1] Inherited IRA) ☐ Roth Inherited IRA

[1]If the required two-year holding period has been met

C. Invest as follows:

Fund Name: Dollar Amount $ or Percentage %

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Must equal 100%

D. Type of Request:

☐ IRA Transfer of Assets (TOA)

☐ Direct Rollover* of Inherited Qualified Plan assets to an Inherited IRA ☐ Direct Rollover* of inherited 403(b) or 457 assets to an Inherited IRA

* Please contact your current plan administrator for distribution/rollover requirements, your plan may require in-house forms or other action.

For all types of accounts, please attach a copy of your most recent account statement from your current custodian if possible.

CURRENT CUSTODIAN AND ACCOUNT INFORMATION

Name of current custodian:

Address:

City: **State:** **Zip code:**

Contact name: **Telephone number: ( )**

Note: You may wish to contact the current custodian to confirm if a Medallion Signature Guarantee is required to process your transfer request. Please see the Participant Authorization section for an explanation of the Medallion Signature Guarantee.

CURRENT CUSTODIAN AND ACCOUNT INFORMATION

Type of account you are transferring from (check one):

☐ Inherited Traditional IRA ☐ Inherited Roth IRA ☐ SEP Inherited IRA ☐ SIMPLE Inherited IRA

☐ Qualified Plan ☐ Qualified Plan Designated Roth ☐ 403(b) or 457 ☐ 403(b) or 457 Designated Roth

Account number: ____

Check one: ☐ Liquidate or ☐ Transfer In-Kind (only applies to Touchstone assets held in an IRA)

Check one: ☐ Full account value or ☐ Partial amount - **specific amount from the Investments listed below (attach additional pages if needed)**

Fund Name/TICKER/CUSIP: _______________________ Partial Dollar Amount $ _____________________or # of Shares______________________

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Fund Name/TICKER/CUSIP: _______________________ Partial Dollar Amount $ _____________________or # of Shares______________________

For Certificates of Deposit: ☐ Immediately* ☐ At Maturity Date _______________

***Note:** If you wish to have certificates of deposit transferred immediately and they have not matured, you may incur a redemption penalty. We cannot accept requests to transfer assets from certificates of deposit more than 60 days before their maturity.

If the inherited IRA has established required minimum distribution ("RMD") payments, please provide the prior year account value.

Prior year end account value $__________________________________

PARTICIPANT AUTHORIZATION

I authorize the transfer of assets or direct rollover as noted above to my Touchstone IRA and authorize my current custodian, Touchstone and BNY Mellon Investment Servicing Trust Company to process this request on my behalf. I understand it is my responsibility to insure the prompt transfer of assets or direct rollover by the current custodian. I have read and understand all information on this form and hereby provide the applicable authorization.

Participant's Signature: **Date:**

Medallion Signature Guarantee ("MSG") Stamp and Signature (If required by your current custodian or transfer agent): An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.

Medallion Signature Guarantee Stamp

Mail to the following:

First Class Mail:
Touchstone Investments
P.O. Box 534467
Pittsburgh, PA 15253-4467

Overnight Mail:
Touchstone Investments
Attention: 534467
500 Ross Street, 154-0520
Pittsburgh, PA 15262
800.543.0407

Customer Service:
800.543.0407