



Use this form to request an IRA transfer of assets or a direct rollover (excluding qualified rollover contributions (conversions) to a Roth IRA) from an existing retirement plan account to your IRA at Touchstone Investments. Based on your instructions, BNY Mellon Investment Servicing Trust Company will initiate the transfer or rollover for you. If you are over age 70½, you are responsible for distributing any required minimum distribution amounts from your current retirement plan account (excluding Roth IRAs) in advance of the transfer or rollover. Incomplete information will result in delays in processing your request. If you need assistance completing this form, please contact Shareholder Services at 800-543-0407, weekdays between 8:30 a.m. and 7:00 p.m. eastern time.

Type of account transferring to:  Traditional IRA (1b)  Rollover IRA (1b)  Roth IRA (1b)  SEP IRA

If you are transferring assets from more than one account type, a separate form will be required for each transfer.

**1**

**PARTICIPANT INFORMATION**

*Please Print*

|  |                        |          |
|--|------------------------|----------|
| _____  | _____                  |          |
| Name   | Cell Phone Number      |          |
| _____  | _____                  |          |
| Street Address (required)                          | Alternate Phone Number |          |
| _____  | _____                  | _____    |
| City   | State                  | Zip Code |
| _____  | _____                  |          |
| Social Security Number                             | Date of Birth          |          |
| _____  | _____                  |          |
| Mailing/P.O. Box Address (If different than above) |                        |          |
| _____  | _____                  | _____    |
| City   | State                  | Zip Code |
| _____  | _____                  |          |
| E-mail Address                                     |                        |          |

**2**

**TRANSFER INFORMATION**

Please attach a copy of your most recent statement from the account you wish to transfer.

I authorize and direct my present Custodian/Trustee to send my assets as follows:

- Immediately liquidate all assets and send the cash proceeds.
- Liquidate all investments at maturity and send cash proceeds. Maturity date\* \_\_\_\_\_.
- Liquidate the investments listed below at maturity and send cash proceeds. Maturity date\* \_\_\_\_\_.
- Partial distribution of \$ \_\_\_\_\_ (amount to be transferred).
- Other \_\_\_\_\_

|            |  |
|------------|--|
| _____      | _____                                    |
| Investment | Existing Account Number (non-Touchstone) |

|            |  |
|------------|--|
| _____      | _____                                    |
| Investment | Existing Account Number (non-Touchstone) |

*\*Note: If you wish to have proceeds transferred immediately and they have not matured, you may incur a redemption penalty. We cannot accept requests to transfer assets more than 60 days before their maturity.*

**3 CURRENT CUSTODIAN INFORMATION**

Please attach your most recent statement. Note: your current custodian may require a Medallion Signature Guarantee to process your transfer request. Please see the Participant Authorization section (below) for an explanation of the Medallion Signature Guarantee.  
*Please Print*

\_\_\_\_\_  
Name of Current Custodian

\_\_\_\_\_  
Street Address

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip Code

\_\_\_\_\_  
Contact Name

\_\_\_\_\_  
Telephone Number

**4 INVESTMENT SELECTION INSTRUCTIONS**

**Investment Selection**

Please complete the Investment Selection on pages i and ii at the end of this application.

**Note:** This application will not be processed unless accompanied by the Investment Selection Form.

**5 PARTICIPANT AUTHORIZATION**

I authorize the transfer of assets as noted above to my Touchstone Investments IRA and authorize my current custodian, Touchstone Investments and BNY Mellon Investment Servicing Trust Company to process this request on my behalf. I understand it is my responsibility to insure the prompt transfer of assets or direct rollover by the current custodian. I have read and understand all information on this form and hereby provide the applicable authorization.

\_\_\_\_\_  
Participant's Signature

\_\_\_\_\_  
Date

**Place Medallion Guarantee Stamp Here**

Medallion Signature Guarantee Stamp and Signature (If required by your current custodian or transfer agent): An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.

**Mail to the following:**

**First Class Mail:**

Touchstone Investments  
P.O. Box 534467  
Pittsburgh, PA 15253-4467

**Overnight Mail:**

Touchstone Investments  
Attention: 534467  
500 Ross Street, 154-0520  
Pittsburgh, PA 15262  
800.543.0407

**Customer Service:**

800.543.0407



**A INVESTMENT SELECTION**

Please check the appropriate box(es) to identify the accompanying paperwork to be used with this Investment Selection Form. The investment minimums shown below are applicable to classes A, C and S. Class R6 has an investment minimum of \$50,000 regardless of account type.

**1. New Account Application**

Minimum initial investment is \$2,500 per Fund or \$100 per Fund with Automatic Investment Plan or \$1,000 for Custodial Account under a Uniform Gifts/Transfer to Minors Act (UGMA/UTMA). Indicate your investment amount in the Fund(s) and Class(es) on the following page. If no class is indicated, Class A shares will be purchased. If you choose to invest using the Automatic Investment Plan, complete Section G on Page 8 of the New Account Application.

**2. Transfer of Assets Form**

Deposit the proceeds into my existing Touchstone IRA. Existing Touchstone Account Number: \_\_\_\_\_

Minimum initial investment is \$1,000 for retirement accounts. Indicate your investment amount in the Fund(s) and Class(es) on the following page. If no class is indicated, Class A shares will be purchased.

**3. SIMPLE IRA – Transfer of Assets Form**

Minimum initial investment is \$1,000 for retirement accounts. Indicate your investment amount in the Fund(s) and Class(es) on the following page. If no class is indicated, Class A shares will be purchased.

Existing Touchstone Account Number: \_\_\_\_\_

**4. Coverdell Education Savings (ESA) – Transfer of Assets Form**

Minimum initial investment is \$1,000 per Fund. Indicate your investment amount in the Fund(s) and Class(es) below. If no class is indicated, Class A shares will be purchased.

Existing Touchstone Account Number: \_\_\_\_\_

**5. SIMPLE IRA Account**

Minimum initial investment is \$1,000 per Fund (or \$100 per Fund if payroll deduction plan) for retirement accounts. Indicate your investment amount in the Fund(s) and Class(es) on the following page. If no class is indicated, Class A shares will be purchased.

**6. Individual Retirement Account IRA  
Traditional IRA, SEP IRA, Roth IRA**

Minimum initial investment is \$1,000 per Fund (or \$100 per Fund if Automatic Investment Plan). Indicate your investment amount in the Fund(s) and Class(es) on the following page. If no class is indicated, Class A shares will be purchased.

**7. Inherited IRA for Non-Spouse, Trust, Estate or Entity Beneficiary**

Transfer proceeds from existing Touchstone IRA account number \_\_\_\_\_ into new Inherited IRA. Indicate your investment amount in the Fund(s) and Class(es) on the following page. If no class is indicated, the existing share class will be retained.

**8. Coverdell Education Savings Account**

Minimum initial investment is \$1,000 per Fund (or \$100 per Fund if Automatic Investment Plan). Indicate your investment amount in the Fund(s) and Class(es) on the following page. If no class is indicated, Class A shares will be purchased.

**9. Non-Custodial – Transfer of Assets Form**

Deposit the proceeds into my existing Touchstone account. Existing Touchstone Account Number: \_\_\_\_\_

Minimum initial investment is \$2,500. Indicate your investment amount in the Fund(s) and Class(es) on the following page. If no class is indicated, Class A shares will be purchased.

**TOUCHSTONE FUND**

| <b>U.S. EQUITY FUNDS</b>           | Dollar<br>Amount | or | Percent | Class A<br>Shares             | Class C<br>Shares             | Class R6<br>Shares*           | Class S<br>Shares |
|------------------------------------|------------------|----|---------|-------------------------------|-------------------------------|-------------------------------|-------------------|
| Anti-Benchmark US Core Equity Fund | \$               |    | %       | <input type="checkbox"/> 3080 | <input type="checkbox"/> 3081 |                               |                   |
| Dividend Equity Fund               | \$               |    | %       | <input type="checkbox"/> 6410 | <input type="checkbox"/> 6411 | <input type="checkbox"/> 6414 |                   |
| Focused Fund                       | \$               |    | %       | <input type="checkbox"/> 2911 | <input type="checkbox"/> 2912 |                               |                   |
| Growth Opportunities Fund          | \$               |    | %       | <input type="checkbox"/> 91   | <input type="checkbox"/> 90   |                               |                   |
| Large Cap Fund                     | \$               |    | %       | <input type="checkbox"/> 416  | <input type="checkbox"/> 466  |                               |                   |
| Large Cap Focused Fund             | \$               |    | %       | <input type="checkbox"/> 6400 | <input type="checkbox"/> 6401 | <input type="checkbox"/> 6405 |                   |
| Large Company Growth Fund          | \$               |    | %       | <input type="checkbox"/> 420  | <input type="checkbox"/> 470  |                               |                   |
| Mid Cap Fund                       | \$               |    | %       | <input type="checkbox"/> 350  | <input type="checkbox"/> 351  | <input type="checkbox"/> 355  |                   |
| Mid Cap Growth Fund                | \$               |    | %       | <input type="checkbox"/> 42   | <input type="checkbox"/> 43   | <input type="checkbox"/> 44   |                   |
| Mid Cap Value Fund                 | \$               |    | %       | <input type="checkbox"/> 402  | <input type="checkbox"/> 452  |                               |                   |
| Sands Capital Select Growth Fund   | \$               |    | %       | <input type="checkbox"/> 411  | <input type="checkbox"/> 461  | <input type="checkbox"/> 346  |                   |
| Small Cap Fund                     | \$               |    | %       | <input type="checkbox"/> 405  | <input type="checkbox"/> 455  |                               |                   |
| Small Cap Value Fund               | \$               |    | %       | <input type="checkbox"/> 35   | <input type="checkbox"/> 36   |                               |                   |
| Small Company Fund                 | \$               |    | %       | <input type="checkbox"/> 6500 | <input type="checkbox"/> 6501 | <input type="checkbox"/> 6503 |                   |
| Value Fund                         | \$               |    | %       | <input type="checkbox"/> 2884 | <input type="checkbox"/> 2885 | <input type="checkbox"/> 3044 |                   |

**INTERNATIONAL/GLOBAL EQUITY FUNDS**

|  |    |  |   |                               |                               |                               |  |
|--|----|--|---|-------------------------------|-------------------------------|-------------------------------|--|
| International Equity Fund                  | \$ |  | % | <input type="checkbox"/> 6300 | <input type="checkbox"/> 6301 |                               |  |
| International ESG Equity Fund              | \$ |  | % | <input type="checkbox"/> 66   | <input type="checkbox"/> 67   |                               |  |
| International Growth Fund                  | \$ |  | % | <input type="checkbox"/> 2899 | <input type="checkbox"/> 2900 |                               |  |
| Non-US ESG Equity Fund                     | \$ |  | % | <input type="checkbox"/> 29   | <input type="checkbox"/> 28   |                               |  |
| Sands Capital Emerging Markets Growth Fund | \$ |  | % | <input type="checkbox"/> 535  | <input type="checkbox"/> 545  | <input type="checkbox"/> 525  |  |
| Sands Capital International Growth Fund    | \$ |  | % |                               |                               | <input type="checkbox"/> 6803 |  |

**INCOME FUNDS**

|  |    |  |   |                               |                               |                               |                               |
|--|----|--|---|-------------------------------|-------------------------------|-------------------------------|-------------------------------|
| Active Bond Fund                       | \$ |  | % | <input type="checkbox"/> 52   | <input type="checkbox"/> 53   |                               |                               |
| Ares Credit Opportunities Fund         | \$ |  | % | <input type="checkbox"/> 414  | <input type="checkbox"/> 464  |                               |                               |
| Core Municipal Bond Fund**             | \$ |  | % | <input type="checkbox"/> 9    | <input type="checkbox"/> 14   |                               |                               |
| Flexible Income Fund                   | \$ |  | % | <input type="checkbox"/> 2769 | <input type="checkbox"/> 2771 |                               |                               |
| High Yield Fund                        | \$ |  | % | <input type="checkbox"/> 54   | <input type="checkbox"/> 55   |                               |                               |
| Impact Bond Fund                       | \$ |  | % | <input type="checkbox"/> 409  | <input type="checkbox"/> 459  | <input type="checkbox"/> 1560 |                               |
| Strategic Income Opportunities Fund    | \$ |  | % | <input type="checkbox"/> 6510 | <input type="checkbox"/> 6511 |                               |                               |
| Ultra Short Duration Fixed Income Fund | \$ |  | % | <input type="checkbox"/> 2896 | <input type="checkbox"/> 2897 |                               | <input type="checkbox"/> 2894 |

**MULTI-ASSET FUNDS**

|                         |    |  |   |                               |                               |                               |  |
|-------------------------|----|--|---|-------------------------------|-------------------------------|-------------------------------|--|
| Balanced Fund           | \$ |  | % | <input type="checkbox"/> 6200 | <input type="checkbox"/> 6201 | <input type="checkbox"/> 6204 |  |
| Dynamic Allocation Fund | \$ |  | % | <input type="checkbox"/> 3067 | <input type="checkbox"/> 3068 |                               |  |

**Must equal 100%**

**OTHER** \_\_\_\_\_ \$ \_\_\_\_\_

Total investment of \$ \_\_\_\_\_. Please make check payable to Touchstone Investments.

\*Class R6 investment minimum is \$50,000.

\*\*Fund is not available in Traditional, Rollover, Roth, SEP, SIMPLE IRAs and Coverdell ESA's.