



Use this form to request a Coverdell ESA transfer of assets from an existing Coverdell ESA to a Coverdell ESA at Touchstone Investments. Based on your instructions, BNY Mellon Investment Servicing Trust Company will initiate the transfer for you. Incomplete information will result in delays in processing your request. If you need assistance completing this form, please contact Shareholder Services at 800-543-0407, weekdays between 8:30 a.m. and 7:00 p.m. eastern time.

**1 RESPONSIBLE INDIVIDUAL**

(Parent or  
Legal Guardian  
Information)

Please Print

Name\*

Daytime Telephone Number

Street Address (required)\*

Evening Telephone Number

City\*

State\*

Zip Code\*

Social Security Number\*

Date of Birth\*

Mailing/P.O. Box Address

City

State

Zip Code

**2 DESIGNATED BENEFICIARY**

(Child Information)

Please Print

Name\*

Street Address (required)\*

City\*

State\*

Zip Code\*

Social Security Number\*

Date of Birth\*

**3 TRANSFER INFORMATION**

Please attach a copy of your most recent statement from the account you wish to transfer. I authorize and direct my present Custodian/Trustee to send my assets as follows:

- Immediately liquidate all assets and send the cash proceeds.
- Liquidate all investments at maturity and send cash proceeds. Maturity date\* \_\_\_\_\_.
- Liquidate the investments listed below at maturity and send cash proceeds. Maturity date\* \_\_\_\_\_.
- Partial distribution of \$ \_\_\_\_\_ (amount to be transferred).
- Other \_\_\_\_\_

Investment

Existing Account Number (non-Touchstone)

Investment

Existing Account Number (non-Touchstone)

\*Note: If you wish to have proceeds transferred immediately and they have not matured, you may incur a redemption penalty. We cannot accept requests to transfer assets more than 60 days before their maturity.

**COMPLETE IF TRANSFERRING FROM AN ELIGIBLE FAMILY MEMBER'S COVERDELL ESA** (This option is only available if you are the Responsible Individual listed on the account.)

Family Members Name

Relationship

Social Security Number

Date of Birth

**4 CURRENT CUSTODIAN**

Please attach your most recent statement. Note: your current custodian may require a Medallion Signature Guarantee to process your transfer request. Please see the Participant Authorization section (Section 6) for an explanation of the Medallion Signature Guarantee.

\_\_\_\_\_  
Name of Current Custodian

\_\_\_\_\_  
Street Address

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip Code

\_\_\_\_\_  
Contact Name

\_\_\_\_\_  
Telephone Number

**5 INVESTMENT SELECTION INSTRUCTIONS**

**Investment Selection**

Please complete the Investment Selection on pages i and ii at the end of this application.

**Note:** This application will not be processed unless accompanied by the Investment Selection Form.

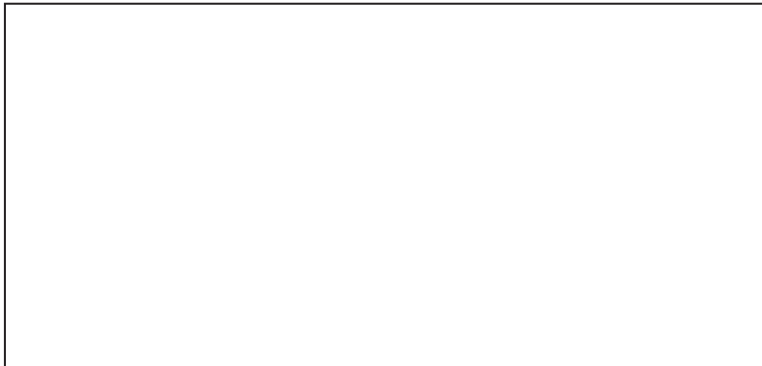
**6 RESPONSIBLE INDIVIDUAL AUTHORIZATION**

I authorize the transfer of assets as noted above to my Touchstone Investments Coverdell ESA and authorize my current custodian, Touchstone Investments and BNY Mellon Investment Servicing Trust Company to process this request on my behalf. I understand it is my responsibility to insure the prompt transfer of assets by the current custodian. I have read and understand all information on this form and hereby provide the applicable authorization.

\_\_\_\_\_  
Responsible Individual Signature

\_\_\_\_\_  
Date

**Place Medallion Guarantee Stamp Here**



Medallion Signature Guarantee Stamp and Signature (If required by your current custodian or transfer agent): An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.

**Mail to the following:**

**First Class Mail:**

Touchstone Investments  
P.O. Box 9878  
Providence, RI 02940-8078

**Overnight Mail:**

Touchstone Investments  
4400 Computer Drive  
Westborough, MA 01581  
800.543.0407



**A INVESTMENT SELECTION**

Please check the appropriate box(es) to identify the accompanying paperwork to be used with this Investment Selection Form.

The investment minimums shown below are applicable to classes A, C and S.

Class R6 of the Touchstone Small Company Fund has an investment minimum of \$50,000 regardless of account type.

**1. New Account Application**

Minimum initial investment is \$2,500 per Fund or \$100 per Fund with Automatic Investment Plan or \$1,000 for Custodial Account under a Uniform Gifts/Transfer to Minors Act (UGMA/UTMA). Indicate your investment amount in the Fund(s) and Class(es) on the following page. If no class is indicated, Class A shares will be purchased. If you choose to invest using the Automatic Investment Plan, complete Section G on Page 7 of the New Account Application.

**2. Transfer of Assets Form**

Deposit the proceeds into my existing Touchstone IRA. Existing Touchstone Account Number: \_\_\_\_\_

Minimum initial investment is \$1,000 for retirement accounts. Indicate your investment amount in the Fund(s) and Class(es) on the following page. If no class is indicated, Class A shares will be purchased.

**3. SIMPLE IRA – Transfer of Assets Form**

Minimum initial investment is \$1,000 for retirement accounts. Indicate your investment amount in the Fund(s) and Class(es) on the following page. If no class is indicated, Class A shares will be purchased.

Existing Touchstone Account Number: \_\_\_\_\_

**4. Coverdell Education Savings (ESA) – Transfer of Assets Form**

Minimum initial investment is \$1,000 per Fund. Indicate your investment amount in the Fund(s) and Class(es) below. If no class is indicated, Class A shares will be purchased.

Existing Touchstone Account Number: \_\_\_\_\_

**5. SIMPLE IRA Account**

Minimum initial investment is \$1,000 per Fund (or \$100 per Fund if payroll deduction plan) for retirement accounts. Indicate your investment amount in the Fund(s) and Class(es) on the following page. If no class is indicated, Class A shares will be purchased.

**6. Individual Retirement Account IRA  
Traditional IRA, SEP IRA, Roth IRA**

Minimum initial investment is \$1,000 per Fund (or \$100 per Fund if Automatic Investment Plan). Indicate your investment amount in the Fund(s) and Class(es) on the following page. If no class is indicated, Class A shares will be purchased.

**7. Coverdell Education Savings Account**

Minimum initial investment is \$1,000 per Fund (or \$100 per Fund if Automatic Investment Plan). Indicate your investment amount in the Fund(s) and Class(es) on the following page. If no class is indicated, Class A shares will be purchased.

**8. Non-Custodial – Transfer of Assets Form**

Deposit the proceeds into my existing Touchstone account. Existing Touchstone Account Number: \_\_\_\_\_

Minimum initial investment is \$2,500. Indicate your investment amount in the Fund(s) and Class(es) on the following page. If no class is indicated, Class A shares will be purchased.

**TOUCHSTONE FUND**

<b>U.S. EQUITY FUNDS</b>	Dollar Amount	or	Percent	Class A Shares	Class C Shares
<b>GROWTH</b>					
Growth Opportunities Fund	\$		%	<input type="checkbox"/> 91	<input type="checkbox"/> 90
Large Company Growth Fund	\$		%	<input type="checkbox"/> 420	<input type="checkbox"/> 470
Mid Cap Growth Fund	\$		%	<input type="checkbox"/> 42	<input type="checkbox"/> 43
Sands Capital Select Growth Fund	\$		%	<input type="checkbox"/> 411	<input type="checkbox"/> 461
Small Company Fund	\$		%	<input type="checkbox"/> 6500	<input type="checkbox"/> 6501 <input type="checkbox"/> 6503 – Class R6*

\*Class R6 investment minimum is \$50,000.

<b>CORE</b>					
Focused Fund	\$		%	<input type="checkbox"/> 2911	<input type="checkbox"/> 2912
Large Cap Fund	\$		%	<input type="checkbox"/> 416	<input type="checkbox"/> 466
Large Cap Focused Fund	\$		%	<input type="checkbox"/> 6400	<input type="checkbox"/> 6401
Mid Cap Fund	\$		%	<input type="checkbox"/> 350	<input type="checkbox"/> 351
Small Cap Fund	\$		%	<input type="checkbox"/> 405	<input type="checkbox"/> 455

<b>VALUE</b>					
Mid Cap Value Fund	\$		%	<input type="checkbox"/> 402	<input type="checkbox"/> 452
Premium Yield Equity Fund	\$		%	<input type="checkbox"/> 66	<input type="checkbox"/> 67
Small Cap Value Fund	\$		%	<input type="checkbox"/> 35	<input type="checkbox"/> 36
Value Fund	\$		%	<input type="checkbox"/> 2884	<input type="checkbox"/> 2885

<b>INTERNATIONAL/GLOBAL EQUITY FUNDS</b>					
Emerging Markets Small Cap Fund	\$		%	<input type="checkbox"/> 400	<input type="checkbox"/> 450
International Equity Fund	\$		%	<input type="checkbox"/> 6300	<input type="checkbox"/> 6301
International Growth Opportunities Fund	\$		%	<input type="checkbox"/> 421	<input type="checkbox"/> 471
International Small Cap Fund	\$		%	<input type="checkbox"/> 2899	<input type="checkbox"/> 2900
International Value Fund	\$		%	<input type="checkbox"/> 2813	<input type="checkbox"/> 2815
Sands Capital Emerging Markets Growth Fund	\$		%	<input type="checkbox"/> 535	<input type="checkbox"/> 545
Sustainability and Impact Equity Fund	\$		%	<input type="checkbox"/> 29	<input type="checkbox"/> 28

<b>MULTI-ASSET/ALTERNATIVE FUNDS</b>					
Arbitrage Fund	\$		%	<input type="checkbox"/> 414	<input type="checkbox"/> 464
Balanced Fund	\$		%	<input type="checkbox"/> 6200	<input type="checkbox"/> 6201
Controlled Growth With Income Fund	\$		%	<input type="checkbox"/> 3063	<input type="checkbox"/> 3064
Credit Opportunities Fund	\$		%	<input type="checkbox"/> 417	<input type="checkbox"/> 467
Dynamic Diversified Income Fund	\$		%	<input type="checkbox"/> 3065	<input type="checkbox"/> 3066
Dynamic Equity Fund	\$		%	<input type="checkbox"/> 3080	<input type="checkbox"/> 3081
Dynamic Global Allocation Fund	\$		%	<input type="checkbox"/> 3067	<input type="checkbox"/> 3068
Merger Arbitrage Fund	\$		%	<input type="checkbox"/> 413	<input type="checkbox"/> 463

<b>INCOME FUNDS</b>					
Active Bond Fund	\$		%	<input type="checkbox"/> 52	<input type="checkbox"/> 53
Flexible Income Fund	\$		%	<input type="checkbox"/> 2769	<input type="checkbox"/> 2771
High Yield Fund	\$		%	<input type="checkbox"/> 54	<input type="checkbox"/> 55
Impact Bond Fund	\$		%	<input type="checkbox"/> 409	<input type="checkbox"/> 459
Ohio Tax-Free Bond Fund**	\$		%	<input type="checkbox"/> 9	<input type="checkbox"/> 14
Ultra Short Duration Fixed Income Fund	\$		%	<input type="checkbox"/> 2896	<input type="checkbox"/> 2897 <input type="checkbox"/> 2894 – Class S

**Must equal 100%**

**OTHER** \_\_\_\_\_ \$ \_\_\_\_\_

Total investment of \$ \_\_\_\_\_. Please make check payable to Touchstone Investments.

\*\*Fund is not available in Traditional, Rollover, Roth, SEP, SIMPLE IRAs and Coverdell ESA's.