



Use this form to request a Coverdell ESA transfer of assets from an existing Coverdell ESA to a Coverdell ESA at Touchstone Investments. Based on your instructions, BNY Mellon Investment Servicing Trust Company will initiate the transfer for you. Incomplete information will result in delays in processing your request. If you need assistance completing this form, please contact Shareholder Services at 800.543.0407, weekdays between 8:30 a.m. and 7:00 p.m. eastern time.

**1 RESPONSIBLE INDIVIDUAL**

(Parent or  
Legal Guardian  
Information)

Please Print

Name\*

Cell Phone Number

Street Address (required)\*

Alternate Phone Number

City\*

State\*

Zip Code\*

Social Security Number\*

Date of Birth\*

Mailing/P.O. Box Address

City

State

Zip Code

**2 DESIGNATED BENEFICIARY**

(Child Information)

Please Print

Name\*

Street Address (required)\*

City\*

State\*

Zip Code\*

Social Security Number\*

Date of Birth\*

**3 TRANSFER INFORMATION**

Please attach a copy of your most recent statement from the account you wish to transfer. I authorize and direct my present Custodian/Trustee to send my assets as follows:

- Immediately liquidate all assets and send the cash proceeds.
- Liquidate all investments at maturity and send cash proceeds. Maturity date\* \_\_\_\_\_.
- Liquidate the investments listed below at maturity and send cash proceeds. Maturity date\* \_\_\_\_\_.
- Partial distribution of \$ \_\_\_\_\_ (amount to be transferred).
- Other \_\_\_\_\_

Investment

Existing Account Number (non-Touchstone)

Investment

Existing Account Number (non-Touchstone)

\*Note: If you wish to have proceeds transferred immediately and they have not matured, you may incur a redemption penalty. We cannot accept requests to transfer assets more than 60 days before their maturity.

**COMPLETE IF TRANSFERRING FROM AN ELIGIBLE FAMILY MEMBER'S COVERDELL ESA** (This option is only available if you are the Responsible Individual listed on the account.)

Family Members Name

Relationship

Social Security Number

Date of Birth

**4 CURRENT CUSTODIAN**

Please attach your most recent statement. Note: your current custodian may require a Medallion Signature Guarantee to process your transfer request. Please see the Participant Authorization section (Section 6) for an explanation of the Medallion Signature Guarantee.

\_\_\_\_\_  
Name of Current Custodian

\_\_\_\_\_  
Street Address

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip Code

\_\_\_\_\_  
Contact Name

\_\_\_\_\_  
Telephone Number

**5 INVESTMENT SELECTION INSTRUCTIONS**

**Investment Selection**

Please complete the Investment Selection on pages i and ii at the end of this application.

**Note:** This application will not be processed unless accompanied by the Investment Selection Form.

**6 RESPONSIBLE INDIVIDUAL AUTHORIZATION**

I authorize the transfer of assets as noted above to my Touchstone Investments Coverdell ESA and authorize my current custodian, Touchstone Investments and BNY Mellon Investment Servicing Trust Company to process this request on my behalf. I understand it is my responsibility to insure the prompt transfer of assets by the current custodian. I have read and understand all information on this form and hereby provide the applicable authorization.

\_\_\_\_\_  
Responsible Individual Signature

\_\_\_\_\_  
Date

**Place Medallion Guarantee Stamp Here**

Medallion Signature Guarantee Stamp and Signature (If required by your current custodian or transfer agent): An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.

**Mail to the following:**

**First Class Mail:**

Touchstone Investments  
P.O. Box 534467  
Pittsburgh, PA 15253-4467

**Overnight Mail:**

Touchstone Investments  
Attention: 534467  
1350 Penn Avenue, Suite 102  
Pittsburgh, PA 15222  
800.543.0407

**Customer Service:**

800.543.0407



**A INVESTMENT SELECTION**

Please check the appropriate box(es) to identify the accompanying paperwork to be used with this Investment Selection Form.

The investment minimums shown below are applicable to classes A, C and S.

Class R6 has an investment minimum of \$50,000 regardless of account type.

**1. New Account Application**

Minimum initial investment is \$2,500 per Fund or \$100 per Fund with Automatic Investment Plan or \$1,000 for Custodial Account under a Uniform Gifts/Transfer to Minors Act (UGMA/UTMA). Indicate your investment amount in the Fund(s) and Class(es) on the following page. If no class is indicated, Class A shares will be purchased. If you choose to invest using the Automatic Investment Plan, complete Section G on Page 8 of the New Account Application.

**2. Transfer of Assets Form**

Deposit the proceeds into my existing Touchstone IRA. Existing Touchstone Account Number: \_\_\_\_\_

Minimum initial investment is \$1,000 for retirement accounts. Indicate your investment amount in the Fund(s) and Class(es) on the following page. If no class is indicated, Class A shares will be purchased.

**3. SIMPLE IRA – Transfer of Assets Form**

Minimum initial investment is \$1,000 for retirement accounts. Indicate your investment amount in the Fund(s) and Class(es) on the following page. If no class is indicated, Class A shares will be purchased.

Existing Touchstone Account Number: \_\_\_\_\_

**4. Coverdell Education Savings (ESA) – Transfer of Assets Form**

Minimum initial investment is \$1,000 per Fund. Indicate your investment amount in the Fund(s) and Class(es) below. If no class is indicated, Class A shares will be purchased.

Existing Touchstone Account Number: \_\_\_\_\_

**5. SIMPLE IRA Account**

Minimum initial investment is \$1,000 per Fund (or \$100 per Fund if payroll deduction plan) for retirement accounts. Indicate your investment amount in the Fund(s) and Class(es) on the following page. If no class is indicated, Class A shares will be purchased.

**6. Individual Retirement Account IRA  
Traditional IRA, SEP IRA, Roth IRA**

Minimum initial investment is \$1,000 per Fund (or \$100 per Fund if Automatic Investment Plan). Indicate your investment amount in the Fund(s) and Class(es) on the following page. If no class is indicated, Class A shares will be purchased.

**7. Inherited IRA for Non-Spouse, Trust, Estate or Entity Beneficiary**

Transfer proceeds from existing Touchstone IRA account number \_\_\_\_\_ into new Inherited IRA. Indicate your investment amount in the Fund(s) and Class(es) on the following page. If no class is indicated, the existing share class will be retained.

**8. Coverdell Education Savings Account**

Minimum initial investment is \$1,000 per Fund (or \$100 per Fund if Automatic Investment Plan). Indicate your investment amount in the Fund(s) and Class(es) on the following page. If no class is indicated, Class A shares will be purchased.

**9. Non-Custodial – Transfer of Assets Form**

Deposit the proceeds into my existing Touchstone account. Existing Touchstone Account Number: \_\_\_\_\_

Minimum initial investment is \$2,500. Indicate your investment amount in the Fund(s) and Class(es) on the following page. If no class is indicated, Class A shares will be purchased.

**B INVESTMENT SELECTION****TOUCHSTONE FUND**

<b>U.S. EQUITY FUNDS</b>	Dollar Amount	or	Percent	Class A Shares	Class C Shares	Class R6 Shares*	Class S Shares
Dividend Equity Fund	\$		%	<input type="checkbox"/> 6410	<input type="checkbox"/> 6411	<input type="checkbox"/> 6414	
Dynamic Large Cap Growth	\$		%	<input type="checkbox"/> 91	<input type="checkbox"/> 90	<input type="checkbox"/> 114	
Focused Fund	\$		%	<input type="checkbox"/> 2911	<input type="checkbox"/> 2912		
Large Cap Fund	\$		%	<input type="checkbox"/> 416	<input type="checkbox"/> 466		
Large Cap Focused Fund	\$		%	<input type="checkbox"/> 6400	<input type="checkbox"/> 6401	<input type="checkbox"/> 6405	
Mid Cap Fund	\$		%	<input type="checkbox"/> 350	<input type="checkbox"/> 351	<input type="checkbox"/> 355	
Mid Cap Growth Fund	\$		%	<input type="checkbox"/> 42	<input type="checkbox"/> 43	<input type="checkbox"/> 44	
Mid Cap Value Fund	\$		%	<input type="checkbox"/> 402	<input type="checkbox"/> 452		
Sands Capital Select Growth Fund	\$		%	<input type="checkbox"/> 411	<input type="checkbox"/> 461	<input type="checkbox"/> 346	
Small Cap Fund	\$		%	<input type="checkbox"/> 405	<input type="checkbox"/> 455		
Small Cap Value Fund	\$		%	<input type="checkbox"/> 35	<input type="checkbox"/> 36		
Small Company Fund	\$		%	<input type="checkbox"/> 6500	<input type="checkbox"/> 6501	<input type="checkbox"/> 6503	
Value Fund	\$		%	<input type="checkbox"/> 2884	<input type="checkbox"/> 2885	<input type="checkbox"/> 3044	

**INTERNATIONAL/GLOBAL EQUITY FUNDS**

International Value Fund	\$		%	<input type="checkbox"/> 6300	<input type="checkbox"/> 6301		
Non-US Equity Fund	\$		%	<input type="checkbox"/> 29	<input type="checkbox"/> 28		
Sands Capital Emerging Markets Growth Fund	\$		%	<input type="checkbox"/> 535	<input type="checkbox"/> 545	<input type="checkbox"/> 525	
Sands Capital International Growth Equity Fund	\$		%	<input type="checkbox"/> 66	<input type="checkbox"/> 67	<input type="checkbox"/> 71	

**INCOME FUNDS**

Active Bond Fund	\$		%	<input type="checkbox"/> 52	<input type="checkbox"/> 53		
Ares Credit Opportunities Fund	\$		%	<input type="checkbox"/> 414	<input type="checkbox"/> 464	<input type="checkbox"/> 574	
Core Municipal Bond Fund**	\$		%	<input type="checkbox"/> 9	<input type="checkbox"/> 14		
Flexible Income Fund	\$		%	<input type="checkbox"/> 2769	<input type="checkbox"/> 2771		
High Yield Fund	\$		%	<input type="checkbox"/> 54	<input type="checkbox"/> 55		
Strategic Income Fund	\$		%	<input type="checkbox"/> 6510	<input type="checkbox"/> 6511		
Ultra Short Duration Fixed Income Fund	\$		%	<input type="checkbox"/> 2896	<input type="checkbox"/> 2897		<input type="checkbox"/> 2894
US Quality Bond Fund	\$		%	<input type="checkbox"/> 409	<input type="checkbox"/> 459	<input type="checkbox"/> 1560	

**MULTI-ASSET FUNDS**

Balanced Fund	\$		%	<input type="checkbox"/> 6200	<input type="checkbox"/> 6201	<input type="checkbox"/> 6204	
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**Must equal 100%****OTHER** \_\_\_\_\_ \$ \_\_\_\_\_

Total investment of \$\_\_\_\_\_. Please make check payable to Touchstone Investments.

\*Class R6 investment minimum is \$50,000.

\*\*Fund is not available in Traditional, Rollover, Roth, SEP, SIMPLE IRAs and Coverdell ESA's.